

**GAIN CAPITAL GROUP, LLC
CUSTOMER ACCOUNT APPLICATION**

CORPORATION / LIMITED LIABILITY COMPANY

Name of Corporation / LLC _____ Taxpayer ID # _____

Business Address _____ Telephone # _____ Fax # _____ E-mail Address _____

City _____ State _____ Zip Code _____ Country _____

Mailing Address (*If different from Address above*) _____ State or Country of Incorporation or Formation _____ Date of Inc. or Formation _____

City _____ State _____ Zip Code _____ Nature of Corporation / LLC's Principal Business _____

Authorized Corporate / LLC Persons: (as shown in Corporate Resolution or LLC Operating Agreement)

Years of Commodity Futures or Options Trading Experience _____ Years of Securities or Securities Options Trading Experience _____

Name of brokerage firms at which Corporation / LLC currently maintains or previously maintained an account.

_____ Account Number _____

_____ Account Number _____

_____ Account Number _____

Does any other person or entity, other than Authorized Persons, control, manage or direct trading in this account? ____ Yes ____ No

If yes, state: Name _____

Relationship to Customer _____

Note: If this is a discretionary account, please complete GAIN's discretionary forms.

Trading Objectives: ____ Speculative ____ Hedging If hedging, what is the nature of your business? _____

If hedge account, indicate what commodities are to be hedged: _____

Does anyone else guarantee or have a financial interest of 10% or more in the account? ____ Yes ____ No

If yes, indicate whom and provide details: Name _____ Relationship _____

Does this Corporation / LLC or any of its principals have an interest in any other trading accounts with other brokerage firms? ____ Yes ____ No

If yes, indicate the name of the firm and account #: _____

Does the Corporation / LLC or any of its principals currently have or previously had any unsatisfied debit balances at a brokerage firm? ____ Yes ____ No

If yes, indicate the name of the firm and provide details: _____

Has the Corporation / LLC or any of its principals ever been a party to any litigation, arbitration or reparations proceeding against any brokerage firm? ____ Yes ____ No

Is the Corporation / LLC or any of its principals currently, or within the last ten years has been, involved in any investigations or court proceedings (including bankruptcy) involving any governmental or regulatory agency or private party? ____ Yes ____ No

If yes, please explain: _____

Is the Corporation / LLC or any of its principals:

A member of the National Futures Association or any Exchange? ____ Yes ____ No

Registered with the CFTC, NFA, SEC or NASD? ____ Yes ____ No

A principal or employee or related to any employee of any brokerage firm, CFTC, NFA or any Exchange? ____ Yes ____ No

If yes, please provide details: _____

**LIMITED LIABILITY COMPANIES MUST INCLUDE COPY OF OPERATING AGREEMENT
CORPORATIONS MUST INCLUDE COPY OF ARTICLES OF INCORPORATION**

GAIN requires financial statements from all of its customers to open a commodity futures trading account. Please include a copy of the Corporation / LLC's most recent audited financial statement. Corporations / LLC's with no audited financial statement must complete the following confidential financial statement:

Liquid Assets:		Short Term Liabilities:	
Cash and cash equivalents	\$ _____	Accounts Payable	\$ _____
Marketable Securities	\$ _____	Loans/Notes Payable	\$ _____
Other Liquid Assets (Explain)	\$ _____	Other Short Term Liabilities (Explain)	\$ _____
Total Liquid Assets	\$ _____		
Other Assets:		Long Term Liabilities:	
Non Marketable Securities	\$ _____	Real Estate Mortgages	\$ _____
Notes and Receivables	\$ _____	Notes Payable	\$ _____
Cash value life insurance	\$ _____	Income taxes payable	\$ _____
Real Estate (principal residence)	\$ _____	Other taxes payable	\$ _____
Real Estate (excluding home)	\$ _____	Other liabilities (Explain)	\$ _____
Other Assets (Explain)	\$ _____		
TOTAL ASSETS	\$ _____	TOTAL LIABILITIES	\$ _____
NET WORTH			
	\$ _____		
	(Total Assets less Total Liabilities)		

Current Annual Income: _____
 ___ \$100,000 or over ___ \$75,000 - \$100,000 ___ \$50,000-\$75,000 ___ \$25,000 - \$50,000 ___ under \$25,000 (Please Specify)\$ _____

Bank References:

Name of Bank	Address (City/State)	Telephone	Account Number
_____	_____	_____	_____
_____	_____	_____	_____

The undersigned warrants and represents to Gain Capital Group, LLC ("GAIN") that the Customer Account Application and Financial Statement completed above are true and correct, until written notice of material changes is delivered to GAIN.

The undersigned authorizes GAIN to make whatever credit inquiries it deems necessary in connection with the undersigned's Customer Account Application, or in connection with transactions in the undersigned's account with GAIN. The undersigned authorizes any person or consumer reporting agency to compile and furnish to GAIN any information it may have or obtain in response to such credit inquiries, and agrees that such information shall remain GAIN's property whether or not an account is opened.

Signature of Authorized Corporate Officer or LLC Member or Manager _____ Date _____

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER / CERTIFICATE OF FOREIGN STATUS

Under penalties of perjury, the undersigned certifies that:

Enter Taxpayer Identification Number _____ - _____ - _____

1. The number shown above is the Corporation / LLC's correct taxpayer identification number, and (2) the Corporation / LLC is not subject to backup withholding because: (a) the Corporation / LLC is exempt from backup withholding, or (b) the Corporation / LLC has not been notified by the Internal Revenue Service that it is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the Internal Revenue Service has notified me that the Corporation / LLC is no longer subject to backup withholding.

OR

2. The Corporation / LLC is not a U.S. entity and has not been, and does not plan to be, in the U.S. for more than 183 days during any calendar year and is not engaged, and does not plan to be engaged during the year, in a U.S. trade or business that has effectively connected gains from broker transactions or my country has a tax treaty with the U.S. that exempts transactions from U.S. taxes. _____ (**Initial please**)

Signature of Authorized Corporate Officer or LLC Member or Manager _____ Date _____
