

GAIN CAPITAL GROUP, LLC CUSTOMER ACCOUNT APPLICATION

PARTNERSHIP

Type of Account: ☐ General Partnership ☐ Limited Partnership ☐ Other

Name of Partnership _____ Taxpayer ID # _____

Business Address _____ Telephone # _____ Fax # _____ E-mail Address _____

City _____ State _____ Zip Code _____ Country _____

Mailing Address (If different from Address above) _____ State or Country of Formation _____ Date of Formation _____

City _____ State _____ Zip Code _____ Nature of Partnership's Principal Business _____

Please list General Partner(s) below: (as shown in Partnership Agreement)

Years of Commodity Futures or Options Trading Experience _____ Years of Securities or Securities Options Trading Experience _____

Name of brokerage firms at which Partnership or General Partner(s) currently maintains or previously maintained an account.

_____ Account Number _____

_____ Account Number _____

_____ Account Number _____

Does any other person or entity other than the Partnership or General Partner(s) control, manage or direct trading in this account? ☐ Yes ☐ No

If yes, state: Name _____

Relationship to Customer _____

Note: If this is a discretionary account, please complete GAIN's discretionary forms.

Trading Objectives: ☐ Speculative ☐ Hedging If hedging, what is the nature of your business? _____

If hedge account, indicate what commodities are to be hedged: _____

Does anyone else guarantee or have a financial interest of 10% or more in the Partnership? ☐ Yes ☐ No

If yes, indicate whom and provide details: Name _____ Relationship _____

Does this Partnership, the General Partner(s) or any of its principals have an interest in any other trading accounts with other brokerage firms? ☐ Yes ☐ No

If yes, indicate the name of the firm and account #: _____

Does the Partnership, the General Partner(s) or any of its principals currently have or previously had any unsatisfied debit balances at a brokerage firm? ☐ Yes ☐ No

If yes, indicate the name of the firm and provide details: _____

Has the Partnership, the General Partner(s) or any of its principals ever been a party to any litigation, arbitration or reparations proceeding against any brokerage firm?
☐ Yes ☐ No

Is the Partnership, the General Partner(s) or any of its principals currently, or within the last ten years, has been involved in any investigations or court proceedings (including bankruptcy) involving any governmental or regulatory agency or private party? ☐ Yes ☐ No

If yes, please explain: _____

Is the Partnership, the General Partners or any of its principals:

A member of the National Futures Association or any Exchange? ☐ Yes ☐ No

Registered with the CFTC, NFA, SEC or NASD? ☐ Yes ☐ No

A principal or employee or related to any employee of any brokerage firm, CFTC, NFA or any Exchange? ☐ Yes ☐ No

If yes, please provide details: _____

ALL PARTNERSHIPS MUST INCLUDE COPY OF PARTNERSHIP AGREEMENT

GAIN requires financial statements from all of its customers to open a commodity futures trading account. Please include a copy of the Partnership's most recent audited financial statement. Partnerships with no audited financial statement must complete the following confidential financial statement:

Liquid Assets:

Cash and cash equivalents \$ _____
 Marketable Securities \$ _____
 Other Liquid Assets (Explain) \$ _____
 Total Liquid Assets \$ _____

Short Term Liabilities:

Accounts Payable \$ _____
 Loans/Notes Payable \$ _____
 Other Short Term Liabilities (Explain) \$ _____

Other Assets:

Non Marketable Securities \$ _____
 Notes and Receivables \$ _____
 Cash value life insurance \$ _____
 Real Estate (principal residence) \$ _____
 Real Estate (excluding home) \$ _____
 Other Assets (Explain) \$ _____

Long Term Liabilities:

Real Estate Mortgages \$ _____
 Notes Payable \$ _____
 Income taxes payable \$ _____
 Other taxes payable \$ _____
 Other liabilities (Explain) \$ _____

TOTAL ASSETS \$ _____

TOTAL LIABILITIES \$ _____

NET WORTH \$ _____
 (Total Assets less Total Liabilities)

Current Annual Income:

___ \$100,000 or over ___ \$75,000 - \$100,000 ___ \$50,000-\$75,000 ___ \$25,000 - \$50,000 ___ under \$25,000 (Please Specify)\$ _____

Bank References:

Name of Bank	Address (City/State)	Telephone	Account Number
_____	_____	_____	_____
_____	_____	_____	_____

The undersigned warrants and represents to Gain Capital Group, LLC ("GAIN") that the Customer Account Application and Financial Statement completed above are true and correct, until written notice of material changes is delivered to GAIN.

The undersigned authorizes GAIN to make whatever credit inquiries it deems necessary in connection with the undersigned's Customer Account Application, or in connection with transactions in the undersigned's account with GAIN. The undersigned authorizes any person or consumer reporting agency to compile and furnish to GAIN any information it may have or obtain in response to such credit inquiries, and agrees that such information shall remain GAIN's property whether or not an account is opened.

Signature of General Partner or Authorized Principal of General Partner _____ Date _____

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER / CERTIFICATE OF FOREIGN STATUS

Under penalties of perjury, the undersigned hereby certifies that:

Enter Taxpayer Identification Number _____ - _____ - _____

- The number shown on this form is the Partnership's correct taxpayer identification number, and (2) the Partnership is not subject to backup withholding because: (a) the Partnership is exempt from backup withholding, or (b) the Partnership has not been notified by the Internal Revenue Service that the Partnership is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the Internal Revenue Service has notified the Partnership that it is no longer subject to backup withholding.

OR

- The Partnership is not a U.S. entity and has not been, and does not plan to be, in the U.S. for more than 183 days during any calendar year and is not engaged, and does not plan to be engaged during the year, in a U.S. trade or business that has effectively connected gains from broker transactions or the Partnership's country has a tax treaty with the U.S. that exempts transactions from U.S. taxes. _____ (Initial please)

Signature of General Partner or Authorized Principal of General Partner _____ Date _____